

## **MONITORING SYSTEM OF VRLA**

## BATTERY CAPACITANCE

## **BACKGROUND OF THE INVENTION**

#### Field of the Invention

This application is a continuation-in-part of provisional patent application serial number 60/184,142 filed on February 8, 2000, this disclosure of which is incorporated herein by reference, in its entirety.

### **Brief Description of the Prior Art**

Valve regulated lead acid batteries (VRLA) were introduced in the late 1980's as "maintenance free." In this type of battery, oxygen and hydrogen produced during electrochemical reactions in the battery recombine to maintain an aqueous liquid electrolyte at a constant level with minimal loss. As a result, these batteries have only a small amount of liquid electrolyte. Discharge of a VRLA battery module to a current compensated voltage of less than substantially 1.5 volts significantly increases the likelihood of irreversible conversion of the active battery material, lead dioxide, to lead sulfate due to pinch-off or isolation effects. A drop in capacity proportional to the degree of sulfation subsequently results.

When VRLA batteries are being charged, they often suffer a charge deficit that cumulatively increases with each charge. The amount of charge deficit varies from battery to battery and those with a smaller deficit are referred to as "more receptive" to charging current and those with a large deficit are "less receptive." One way of compensating for the charge deficit of a battery is to increase the voltage to which the battery is charged, i.e. the "float" voltage. When the voltage of a battery reaches a manufacturer specified float-voltage, it is deemed fully charged. However, increasing the float-voltage to remedy the charge deficit of a less receptive battery can overcharge

1 those batteries in the string that are more receptive. Overcharging causes disassociation of the  
2 electrolyte and consequent gas pressurization in a VRLA battery. If the pressure exceeds the relief  
3 valve setting, gas escapes and electrolyte is lost, with permanent loss of capacity as the result. The  
4 mismatch in charge receptivity grows with the number of charge cycles. When one battery in the  
5 string finally suffers an unacceptable loss of capacity, all of the batteries in the string must usually  
6 be discarded, although many of them have substantial useful life remaining.

## SUMMARY OF THE INVENTION

## **BRIEF DESCRIPTION OF THE DRAWINGS**

9           The advantages of the instant disclosure will become more apparent when read with the  
10      specification and the drawings, wherein:

Figure 1 is a block diagram for the disclosed monitoring system.

## **DETAILED DESCRIPTION OF THE INVENTION**

13 It is desirable to be able to predict capacity of batteries used in back-up application. An  
14 example of a typical back up system that relies on the batteries would be at railroad crossings for  
15 the barrier and warning light activation in case of a power failure.

16        Most batteries exhibit a discharge curve that will allow the use to accurately predict battery  
17      capacity. The exception is the VRLA batteries. These sealed, “dry” cell batteries have a very flat  
18      discharge curve until capacity reaches a very low value, about ten-percent (10%). At this point, this  
19      battery terminal voltage will drop dramatically. The prior art method for determining capacity of  
20      these batteries is to discharge the batteries to the lowest system value of 1.75V, and compare the  
21      actual time to the calculated time, i.e.

$$\text{Capacity} = \frac{\text{Actual}}{\text{Calculated}} \times 100\%$$

1        This method is impossible to use in most, if not all, VRLA back-up systems. Unlike  
2 primary systems, where the battery can be out of service for a short period of time, because of a  
3 back-up system, back-up system batteries must be available at all times in the event of primary  
4 system failure. For any type of back-up battery system, the discharge of the batteries to 1.75V  
5 would leave the batteries in a heavily discharged condition. In this condition, if the batteries were  
6 needed as a back-up power source, they would quickly fail to provide the required current.

7        It has been determined that a neural network, in combination with a novel prediction  
8 algorithm, can be configured to accurately predict the VRLA battery capacity. The basic neural net  
9 was designed by Matlab and was modified to determine the weighting coefficients for the prediction  
10 algorithm. The input parameters to the neural net were “fuzzified” to incorporate the prediction  
11 algorithm’s math functionality needed to predict the capacity. However, any equivalent software  
12 that can be modified to accept the algorithm as disclosed herein can be used. The capacity  
13 prediction algorithm consists of two steps that, when used in combination, provide an  
14 unprecedented level of accuracy. The first step is a fuzzy logic process that determines the wide  
15 range of standardized capacity values for which the particular cell will qualify. The second step uses  
16 the neural network to reduce the wide capacity range to a narrow range of approximately 15 – 20%.  
17 The fuzzy logic process contains membership sets of capacity ranges. A membership defines how  
18 each point in the input space is mapped to a degree of membership. The degree of membership  
19 within a particular capacity range is determined by comparing the cell under test voltages with  
20 historical cell voltages from standard cells of the same type. The capacity ranges with positive  
21 membership values are used to provide the overall capacity range limits in which the current cell  
22 will qualify. The neural network takes the data from the particular cell’s four-hour discharge test  
23 and determines how much of the broad capacity range is kept.

1        In the physical arrangement of the disclosed, as illustrated in the block diagram of Figure 1,  
2        the battery cells 12 are connected in series with a PowerCheck battery monitor 10. The battery  
3        monitor 10 consists of hardware for monitoring the voltages of the battery cells and currents that are  
4        flowing into and out of the batteries. All of the data that is needed for the prediction algorithm is  
5        acquired with the monitor 10.

6        The data required for the neural net is obtained from a short-term, four (4) hour, discharge of  
7        the battery. The testing of the battery is automatically run once a year and periodically, as  
8        preprogrammed by the system or through manual activation. The system can be preprogrammed to  
9        initiate testing of the batteries on any periodic basis. For example, on a monthly basis a twenty (20)  
10      minute catastrophic failure test is run to determine if a premature failure will occur on an individual  
11      cell. A typical battery bank consists of six (6) or seven (7) separate cells. The entire bank is tested,  
12      and the results logged into the system by bank, as well as cell by cell. The four (4) hour discharge is  
13      done at a discharge rate calculated from the amp-hour size of the battery and a 24-hour period. The  
14      system is monitored to maintain a constant current discharge regardless of load requirements. Since  
15      in many instances these batteries are used for back up in critical safety areas, such as railroad  
16      crossings, even during testing the battery must not be depleted to the extent that it cannot provide  
17      immediate full load service. Therefore, the constant current load designed for testing uses a 24-hour  
18      load to provide enough data (time loaded) while not significantly depleting the battery. The testing  
19      procedure used is a load test recommended by IEEE (Institute of Electrical and Electronic  
20      Engineers). Specific data parameters from the four (4) hour test is fed directly into the prediction  
21      algorithm. The parameters used are the cells age, open circuit voltage, voltage after one hour of  
22      discharge, voltage after three hours of discharge and voltage after four hours of discharge. From  
23      these voltage values three additional data points are derived: the three hour slope is calculated from

1 the one and four cell voltages, the delta between the three and four hour voltages and a slope  
2 adjusted data point calculated by the difference between the four hour voltage and 2 volts divided  
3 by the slope.

4       The process of learning and testing is as follows. In the lab, a bank of seven cells (same  
5 type and ampere-hour size) is fully charged and then discharged at the 24 hour rate until each cell's  
6 voltage is 1.75V. This process is done with a data logger/PC recording the cells voltage once per  
7 minute. The PowerCheck is used to provide the proper 24 hour rate load to the batteries. Once  
8 each cell reaches 1.75V, the actual capacity of the battery is determined by noting the length of time  
9 it takes the cell to discharge to 1.75V (typically around 26 hours for a 100% capacity battery). The  
10 actual capacity of the battery is determined by the formula: (time to discharge to 1.75V X 24 hour  
11 discharge rate in amps)/(24 X 24 hour discharge rate in amps). The parameters that were  
12 mentioned above are used to train the neural network/fuzzification network with the actual capacity  
13 value used as a target. The results of training a neural network yield a set of coefficients that are  
14 programmed into an EEPROM which is inserted in the PowerCheck battery monitor 10. This  
15 constitutes the training of the network.

16       The four hour tests that are performed at the site location of the PowerCheck (i.e. railroad  
17 crossings) use the neural network coefficients to predict the capacity of the batteries at that location.  
18 The four hour test logs the parameters mentioned above, inputs them into the neural network and  
19 the network outputs a predicted capacity. The parameters obtained from these four hour tests do not  
20 provide any additional training data beyond the 24 hour discharge tests performed in the lab. As  
21 more 24 hour discharge tests are performed in the lab, the actual capacity and cell voltage data are  
22 applied to the training data for the network and new, smarter coefficients are obtained.

23       An example of typical data parameters for a VRLA battery set would be:

- 1 Open Circuit Voltage – 13.5 volts DC, 2.25 volts per cell
- 2 Voltage minimum, while still enabling testing, - 11.7 volts DC;
- 3 Dead battery voltage – 10.5 volts D.C., 1.75 volts per cell
- 4 Voltage start – This is dependent upon load applied and charge of the battery. If load is applied for any length of time and the battery is fully charged, the voltage start will be close to the open circuit voltage.
- 5
- 6
- 7 Slope – approximately 6 millivolts per hour.

8       Upon setting up the unit, the age of the battery is entered. Based on the foregoing, the  
9 algorithm is fed the cell voltages at specified points in time, the algorithm is then able to obtain  
10 additional data points by manipulating the entered cell voltage data.

11       Since, by its nature, a neural network refines its processes as it “learns”, as more data is  
12 obtained, the error margin will be reduced. The neural network predicts capacity at +/- 10% error  
13 based on about 60 data sets. Optimum reliability in the training of neural networks is achieved by at  
14 least 50,000 data sets, thereby reducing the percentage of error.

15       Dependent upon the application, a variety of types of alarms, or different situations, will  
16 need to be activated. In the preferred embodiment, all of the batteries are connected to a centralized  
17 system through an industry standard data system, such as SCADA, that collects and transfers data  
18 from the field to a central office. In most instances, alarms will be activated if the individual cell  
19 voltage is reduced to 1.95 volts or less per cell for one or more cells; the capacity falls below 80%;  
20 or a system failure, such as a bad connection, occurs. A serial port in the battery monitor enables the  
21 data to be downloaded onto a network, laptop computer or printer. A real time clock that is,  
22 preferably, automatically verified and updated, if necessary, through the network, stamps the tests  
23 and data. The disclosed is an encapsulated system with any data transfer being from the unit to a

1 laptop, modem or printer. The software used for the printer is contained within the unit and the  
2 software for the laptop/PC can be Windows HyperTerminal or an equivalent. The prediction  
3 algorithm receives data values from the batteries at one location. For each location and set of  
4 batteries, there is one battery monitor 10 that contains the prediction algorithm. Each PowerCheck  
5 battery monitor 10 can accommodate up to 7 cells in one set and additional sets will require an  
6 additional monitor 10.

7 The open, one, three and four hour cell voltages from the foregoing four (4) hour discharge  
8 are used in the fuzzy portion of the algorithm. The total battery capacity (time to death) is broken  
9 into capacity spans of 10%. There is a voltage range associated with each of the 10 spans of battery  
10 capacities, which was determined from the previous 24-hour rate discharge lab tests. Each of the  
11 open, one, three and four hour cell voltage are compared with the known base lines for the specific  
12 battery type to determine which capacity spans the voltages ranges fall within. For example, a one-  
13 hour cell voltage of 2.07V will fall into three capacity spans: 70-80, 80-90 and 90-100.

14 Once the potential capacity spans are determined, another series of calculations occur that  
15 indicate the "strength" of the cell's voltage within a particular span. The strength is indicative of  
16 the probability of the voltage falling within a specific 10% span. The strength is calculated as  
17 follows: the average voltage is calculated for each capacity range using the max and min voltage  
18 values. The cell under test voltage (open, one, three or four hour) is divided by this average, then  
19 the quotient is subtracted from one and the absolute value of this difference is obtained. Using this  
20 same formula, the numbers are obtained from the cell under test open, one, three and four-hour cell  
21 voltages. The values of the four are added and the sum is divided by seventy (70) and the quotient  
22 subtracted from one. This yields the final strength value for that capacity range which can be  
23 positive or negative.

1        Each capacity range produces a strength value determined by the above formulas and using  
2        the same cell under test voltages. The capacity range with the most positive strength value (highest  
3        probability that the cell is within that capacity range) is allowed to keep its full 10% range. The  
4        neighboring ranges are adjusted by the value of their strength. The range that is immediately above  
5        the strongest range gets its strength value added to its lowest capacity value, which yields the upper  
6        capacity limit. The range that is immediately below the strongest range gets its strength value  
7        subtracted from its highest capacity value, which yields the lower capacity limit. This delta from the  
8        two limits produces a high and low range span from the fuzzification portion of the prediction  
9        algorithm. For example:

10       Capacity (%)   Strength Value  
11       90 – 100      3  
12       80 – 90      9      < Strongest strength value  
13       70 – 80      5  
14       Upper capacity limit:  $90 + 3 = 93$   
15       Lower capacity limit:  $80 - 5 = 75$   
16       Final fuzzification capacity range span =  $93 - 75 = 18$  with the limits as the upper and lower  
17       capacity limits.

18       The neural network portion of the capacity prediction algorithm is used to narrow the  
19       capacity span obtained in the fuzzification portion. The neural network receives the open (data  
20       point #1), one-hour (data point #2), three-hour (data point #3), and four-hour (data point #4) cell  
21       voltages and the age (data point #8), of the batteries. Three more data points are obtained from  
22       these input voltages, they are: the slope of the discharge curve (data point #5), the delta between  
23       voltages at three and four hours (data point #6), and the proximity to two volts of the four hour

1 voltage (data point #7). The slope (data point #5) of the discharge curve is calculated by taking the  
2 difference of the one and four hour cell voltages and dividing by three. The delta (data point #6)  
3 between three and four hours is simply the difference between the two values. Data point #7 is  
4 determined by subtracting the number two from the four-hour voltage and dividing the difference  
5 by the slope (data point #5). This calculation for data point #7 determines the proximity of the four-  
6 hour cell voltage to two volts. These eight data points are input to the neural network and the output  
7 of the network produces a number between zero and one. The neural network performs its  
8 calculations as any standard neural net using the coefficients determined from the training of the  
9 network using the lab data.

10 The output of the neural net is multiplied by the span of the capacity range obtained from the  
11 fuzzification portion (18 in the example above). This product is added to the low range value (75 in  
12 the example above) and this sum is the final capacity prediction of the algorithm.

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14 **SUMMARY OF INVENTION**

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16 A method and apparatus for monitoring the capacity of a valve regulated lead acid battery is  
17 disclosed that includes connecting at least one battery monitor to the valve regulated lead acid  
18 battery, connecting the battery monitor to a central office through a centralized system using an  
19 industry standard data system, and connecting an alarm to the centralized system. Short-term  
20 discharge tests are performed on the battery using the battery monitor, which provides input  
21 parameters for a neural network and fuzzy logic network used in combination with a prediction  
22 algorithm to calculate the predicted capacity. The alarm is activated when said predicted capacity  
23 falls below eighty percent, when an individual cell voltage is reduced to 1.95 volts or less, or when  
24 a system failure occurs.

1        In the preferred embodiment of the invention, the battery monitor consists of hardware for  
2 monitoring the voltages of each battery cell and currents that are flowing into and out of the battery,  
3 and, further, the monitor contains a serial port enabling data to be downloaded onto a network,  
4 computer, or printer as well as a real time clock which stamps the tests and data.

5        The short-term discharge test is preferably a four hour test during which the battery monitor  
6 acquires specific data parameters including the cell age, open circuit voltage, voltage after one hour  
7 of discharge, voltage after three hours of discharge, and voltage after four hours of discharge.

8        These parameters are used by the neural network to derive three additional parameters including the  
9 slope of discharge curve, the delta between the voltages at three and four hours, and the proximity  
10 to two volts of the four-hour voltage.

11        The four-hour discharge test is performed repeatedly as necessary on the battery, while the  
12 neural network is trained only once for a specific kind of battery. The neural network is trained in a  
13 lab by determining the actual capacity of a battery then using this actual capacity along with the  
14 various parameters noted previously to yield a set of neural network coefficients that are used by the  
15 fuzzy logic network and the neural network combined with the prediction algorithm to predict the  
16 battery capacity.

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